

State Plan Management Systems and Submission Deadlines for Plan Year 2017

Questions related to HIOS may be directed to the HIOS Help Desk at 1-877-343-6507 or insurancecoversight@hhs.gov.

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Questions related to SERFF may be directed to the SERFF Help Desk at 816-783-8990 or serffplanmgmi@naic.org.

For more information regarding SERFF Plan Management, please visit <http://www.serff.com/hix.htm>.

State	Marketplace Model	System for Collecting OHP Data - INDIVIDUAL	System for Collecting OHP Data - SHOP	Rate & Form Filing Deadline	Plan Binder Deadline				Additional Information	Ready for Binder Submission
					Medical - On Exchange	Medical - Off Exchange	Dental - On Exchange	Dental - Off Exchange		
Alabama	FFM	HIOS, State also requires plan data in SERFF	HIOS, State also requires plan data in SERFF	Follow federal guidelines for 2017 plans: Forms Filings: 5/11/16 Rate Filings: 7/15/16	Each filing is to be submitted at least 60 days prior to use.	Each filing is to be submitted at least 60 days prior to use.	Each filing is to be submitted at least 60 days prior to use.	Each filing is to be submitted at least 60 days prior to use.		Yes
Alaska	FFM	HIOS	HIOS							N/A
Arizona	FFM	HIOS, State also requires plan data in SERFF	HIOS, State also requires plan data in SERFF		5/11/2016	If the issuer has no On-Exchange at all, they may file by 5/25/2016	No Binder is required.	No Binder is required.		Yes
Arkansas	SBM for SHOP only	SERFF	SERFF		Binder Rate Information: 5/11/16 AR-specific Network Adequacy: 4/8/16 All Other: 4/1/16	Binder Rate Information: 5/11/16 AR-specific Network Adequacy: 4/8/16 All Other: 4/1/16	Binder Rate Information: 5/11/16 AR-specific Network Adequacy: 4/8/16 All Other: 4/1/16	Binder Rate Information: 5/11/16 AR-specific Network Adequacy: 4/8/16 All Other: 4/1/16		Yes
California	SBM	SERFF & state-developed application	SERFF & state-developed application	Standard Health Insurance Form Filings May 2, 2016 Non-Standard Health Insurance Form Filings June 1, 2016 Individual Market Rate Filings (health only) May 2, 2016 Small Group Market Rate Filings (health only) June 1, 2016 Network Filings (health and dental) June 1, 2016	6/1/2016	6/1/2016	5/2/2016	Not Required	These deadlines apply only to Non-Grandfathered Individual and Small Group Health Insurance and Exchange Dental Products	Yes
Colorado	SBM	SERFF	SERFF		5/20/2016	5/20/2016	5/20/2016	5/20/2016		No
Connecticut	SBM	SERFF	SERFF		6/7/2016	No Binder required.	6/7/2016	6/7/2016		No
Covered California	SBM	SERFF & state-developed application	SERFF & state-developed application		On Exchange Medical – May 2, 2016 On Exchange Medical Small Group – June 17, 2016 all templates except Rates; Rates template due July 15, 2016	Off Exchange should not be submitted to Covered California.	On Exchange Dental Only – June 1, 2016 On Exchange Dental Only Small Group – June 1, 2016	Off Exchange should not be submitted to Covered California.		Yes
Delaware	SPM	SERFF	SERFF	5/9/2016	5/9/2016	5/9/2016	5/9/2016	5/9/2016		Yes
District of Columbia	SBM	SERFF	SERFF	5/2/2016	August 1, 2016- Deadline for OHP and ODP CCIIO/Federal template submission to SERFF September 1, 2016- Deadline for OHP and ODP SBC submission to SERFF	No Binder required.	August 1, 2016- Deadline for OHP and ODP CCIIO/Federal template submission to SERFF September 1, 2016- Deadline for OHP and ODP SBC submission to SERFF	No Binder required.		Yes
Florida	FFM	HIOS & state-developed application	HIOS & state-developed application							N/A
Georgia	FFM	HIOS, State also requires plan data in SERFF	HIOS, State also requires plan data in SERFF		Georgia mirrors Federal deadlines. File in SERFF within federal window, so that Georgia has as much of its legal 90-day advance review time as possible before federal deadlines for complete review by state. Filings are handled on a first come, first served basis in Georgia for ACA in these federal windows and tight timeframes imposed by Feds.	Georgia mirrors Federal deadlines. File in SERFF within federal window, so that Georgia has as much of its legal 90-day advance review time as possible before federal deadlines for complete review by state. Filings are handled on a first come, first served basis in Georgia for ACA in these federal windows and tight timeframes imposed by Feds.	Georgia mirrors Federal deadlines. File in SERFF within federal window, so that Georgia has as much of its legal 90-day advance review time as possible before federal deadlines for complete review by state. Filings are handled on a first come, first served basis in Georgia for ACA in these federal windows and tight timeframes imposed by Feds.	Georgia mirrors Federal deadlines. File in SERFF within federal window, so that Georgia has as much of its legal 90-day advance review time as possible before federal deadlines for complete review by state. Filings are handled on a first come, first served basis in Georgia for ACA in these federal windows and tight timeframes imposed by Feds.		Yes



State	Marketplace Model	System for Collecting QHP Data - INDIVIDUAL	System for Collecting QHP Data - SHOP	Rate & Form Filing Deadline	Plan Binder Deadline				Additional Information	Ready for Binder Submission	
					Medical - On Exchange	Medical - Off Exchange	Dental - On Exchange	Dental - Off Exchange			
Missouri	FFM	HIOS	HIOS							N/A	
Montana	MPM	SERFF	SERFF	Forms: 5/9/2016 Rates: 6/15/2016	5/9/2016	5/9/2016	5/9/2016	5/9/2016		No	
Nebraska	MPM	SERFF	SERFF	Medical - Small Group: 06/01/2016	5/5/2016	5/5/2016	5/5/2016	5/5/2016		Yes	
Nevada	SSBM	SERFF	SERFF	Medical - Individual: 06/20/2016	5/2/2016	5/2/2016	5/2/2016	5/2/2016		Yes	
New Hampshire	SPM	SERFF	SERFF	4/8/2016	4/8/2016	4/8/2016	4/8/2016	4/8/2016		Yes	
New Jersey	FFM	HIOS	HIOS							N/A	
New Mexico	SBM	SERFF	SERFF	4/30/2016 Rate Amendment Period : 6/15/2016 - 6/30/2016	4/30/2016	4/30/2016	4/30/2016	4/30/2016		Yes	
New York	SBM	SERFF & state-developed application for Network Adequacy	SERFF & state-developed application for Network Adequacy		5/27/2016	5/27/2016	5/13/2016	5/13/2016		Yes	
North Carolina	FFM	HIOS. State also requires plan data in SERFF for medical plans, both on and off exchange.	HIOS. State also requires plan data in SERFF for medical plans, both on and off exchange.	<p>For medical forms filings and SAMP form and/or rate filings, no specific North Carolina deadline.</p> <p>The state does recognize the federal filing window. North Carolina requests a 90-day review period for QHP form (and binder) and SAMP form and/or rate filings in the individual and/or small group markets. As the federal Letter to Issuers requires reviews to be finalized by August 23, 2016, issuers should submit QHP form filings (and binders) and SAMP form and/or rate filings no later than 90 days before that date. Nevertheless, issuers should always submit the QHP application through HIOS in accordance with federal timelines.</p> <p>For non-QHP Medical form filings (and binder submissions), per the federal Letter to Issuers, NCDOI must have reviews of all non-QHP single-risk pool filings completed by October 7, 2016. Therefore a suggested submission date for forms (and binders) is no later than July 9, 2016 to give NCDOI 90 days for our reviews. This ONLY applies to non-QHP medical form (and binder submissions) in the individual and/or small group markets where the issuer does not have ANY QHP in the single-risk pool for the market in question.</p> <p>For medical single-risk pool rate filings (both on and off exchange), NCDOI will require that ALL single-risk pool rate filings in the individual and small group markets to be submitted on May 23, 2016 ONLY. Further guidance will be issued outside of SERFF relating to the expectations and requirements for these submissions.</p> <p>Please contact Jean Holliday at jholliday@ncdoi.gov if you have any questions about Plan Management requirements for the 2017 Plan Year.</p>	No specific North Carolina deadline.	Issuers should plan for a 90-day review by NCDOI. For issuers applying for QHP certification through the federal exchange/SAMP, NCDOI suggests that binders be submitted to provide sufficient time for NCDOI to complete its review by August 23, 2016. Issuers applying for QHP certification should submit any non-QHPs binders for the same market on a similar timeline. Issuers not submitting any plans for QHP certification should also plan for a 90-day review period and should keep in mind any federally-required timelines, including the October 7, 2016 completion date for state review of all non-QHP single-risk pool rate filings.	Issuers should plan for a 90-day review by NCDOI. For issuers applying for QHP certification through the federal exchange/SAMP, NCDOI suggests that binders be submitted to provide sufficient time for NCDOI to complete its review by August 23, 2016. Issuers applying for QHP certification should submit any non-QHPs binders for the same market on a similar timeline. Issuers not submitting any plans for QHP certification should also plan for a 90-day review period and should keep in mind any federally-required timelines, including the October 7, 2016 completion date for state review of all non-QHP single-risk pool rate filings.	No Binder is required.	No Binder is required.	NCDOI will only take approval action on a form, rate, and/or binder submission at the same time for a single issuer for a single market. Therefore issuers should time submissions to give NCDOI sufficient time (90 days) to complete all reviews by the expected approval date, including any federal deadlines.	Yes
North Dakota	FFM	HIOS	HIOS							N/A	
Ohio	MPM	SERFF	SERFF	<p>Medical - Individual and Small Group On and Off Exchange</p> <p>Forms - April 15, 2016</p> <p>Rates - May 13, 2016</p> <p>Dental - Individual and Small Group, On and Off-Exchange</p> <p>Forms - April 15, 2016</p> <p>Rates - April 27, 2016</p> <p>Student Health Plans - (for 2016/2017 School Year)</p> <p>Forms - March 15, 2016</p> <p>Rates - April 27, 2016</p> <p>Large Group Market - (all for 1/1/2017 effective date)</p> <p>Forms - September 2, 2016</p> <p>Rates - September 2, 2016</p>	4/27/2016	Plan Binder Filings should not be submitted for Off Exchange only Health plans.	4/27/2016	4/27/16 (Off Exchange Certified SADPs only)**	**Plan Binder Filings should not be submitted for Off Exchange only Dental plans that are not intended to be offered as Off Exchange Certified SADPs.	Yes	
Oklahoma	FFM	HIOS	HIOS							N/A	

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Oregon	SSBM	SERFF	SERFF	Forms: Medical - Individual: 03/07/2016 Medical - Small Group: 02/12/2016 Dental - Individual: 02/16/2016 Dental - Small Group: 02/16/2016  Rates: Medical - Individual: 05/02/2016 Medical - Small Group: 05/02/2016 Dental - Individual: 03/31/2016	5/2/2016	5/2/2016	5/2/2016	5/2/2016		Yes
Pennsylvania	SPM	SERFF	SERFF	4/27/2016	4/27/2016	4/27/2016	4/27/2016	4/27/2016		Yes
Rhode Island	SBM	SERFF & state-developed application	SERFF & state-developed application	Medical Standard Variable Forms: 4/4/2016 Medical Non-Variable Forms and Rates: 5/13/2016 Dental Forms and Rates: 6/15/2016	14 days after form/rate approval, anticipated to be mid-August	Not required	14 days after form/rate approval	Not required		Yes
South Carolina	FFM	HIOS, State also requires plan data in SERFF	HIOS, State also requires plan data in SERFF	Medical (QHP Issuers - On Exchange & On/Off Exchange): 05/25/2016 Exchange-Certified SADPs: 06/08/2016* Medical (Off Exchange Only): 07/11/2016  Only one filing per company per market segment - all rates and forms for all products offered by the company in the market segment (i.e., individual or small group) must be included in a single filing using SERFF Filing Type "Form/Rate."  *Exchange-certified SADP issuers that are planning to use previously approved, ACA-compliant rates and/or forms may not need to submit a filing. Please see <a href="http://doi.sc.gov/431/Life-Accident-Health">http://doi.sc.gov/431/Life-Accident-Health</a> or email <a href="mailto:lah@mail@doi.sc.gov">lah@mail@doi.sc.gov</a> for more information.	5/25/2016	7/11/2016	6/8/2016	6/8/2016	<a href="http://doi.sc.gov/431/LifeAccident-Health/PPACAResources">http://doi.sc.gov/431/LifeAccident-Health/PPACAResources</a>  SCDOI Memorandum: Filing Timeline for Plan/Policy Years Beginning in 2017 and for Student Health Plan Policy Years Beginning on or after July 1, 2016, available online at <a href="http://doi.sc.gov/DocumentCenter/View/8797">http://doi.sc.gov/DocumentCenter/View/8797</a>	Yes
South Dakota	MPM	SERFF	SERFF	4/26/2016	4/26/2016	4/26/2016	4/26/2016	4/26/2016		Yes
Tennessee	FFM	HIOS, State also requires plan data in SERFF	HIOS, State also requires plan data in SERFF	Rates - 6/11/16 Forms- 5/11/16	Rates - 6/11/16 Forms- 5/11/16	Rates - 6/11/16 Forms- 5/11/16	Rates - 6/11/16 Forms- 5/11/16	Rates - 6/11/16 Forms- 5/11/16		Yes
Texas	FFM	HIOS	HIOS							N/A
Utah	SBMMMPM	SERFF (SHOP - SBM, Individual - MPM)	SERFF (SHOP - SBM, Individual - MPM)	Individual Forms - 8:00am MT, May 2, 2016 Small Group Forms - 8:00am MT, May 23, 2016 All Rate Filings (SERFF & HIOS) - 8:00am MT, June 15, 2016	Individual - 8:00am MT, May 2, 2016 Small Group - 8:00am MT, May 23, 2016	Individual - 8:00am MT, May 2, 2016 Small Group - 8:00am MT, May 23, 2016	Individual - 8:00am MT, May 2, 2016 Small Group - 8:00am MT, May 23, 2016	Individual - 8:00am MT, May 2, 2016 Small Group - 8:00am MT, May 23, 2016	Medical: Form filings shall be submitted under separate SERFF tracking numbers for each HIOS Product ID. (do not include rate information in form filing). Rate filings shall be submitted under separate SERFF tracking numbers for each risk pool.  Dental: Dental form and rate filings shall be submitted as one filing for each market.	Yes
Vermont	SBM	SERFF	SERFF	QHP Forms: 3/4/2016 SADP Forms: 4/1/2016 All Exchange Rates: 5/11/2016	8/18/2016	8/18/2016	8/18/2016	N/A		Yes

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					Medical - On Exchange		Medical - Off Exchange				Dental - On Exchange	Dental - Off Exchange
Virginia	MPM	SERFF	SERFF	4/11/2016	4/27/2016	4/27/2016	4/27/2016	4/27/2016		No		
Washington	SBM	SERFF & state-developed application	SERFF & state-developed application	5/6/2016	5/6/2016	5/6/2016	5/6/2016	5/6/2016		No		
West Virginia	SPM	SERFF	SERFF	Forms: 4/15/2016 Rates (with the exception of Rate Data Template needed in the binder): 6/1/2016	4/16/2016	Not required	4/16/2016	Not required, but any carrier participating in in Off Exchange Certified business is due 04/15/2016		Yes		
Wisconsin	FFM	HIOS	HIOS							N/A		
Wyoming	FFM	HIOS	HIOS							N/A		