



# SERFF DATA HOSTING

Each day thousands of companies rely on RiskAnalytics' solutions to simplify governance, risk management and compliance...

Governance  
Risk Management  
Compliance  
Secure Data Hosting



## What is SERFF?

The System for Electronic Rate and Form Filing (SERFF) is a web-based application that enables companies to streamline their rate and form filing process. By filing electronically, insurers and other companies save administrative costs and shorten the response time to gain regulatory approvals. The National Association of Insurance Commissioners (NAIC) selected RiskAnalytics as its data hosting provider for companies seeking secure, long-term storage of their rate and form regulatory filings.

## Who is RiskAnalytics?

For more than a decade, RiskAnalytics has been simplifying the process of governance, risk management and compliance (GRC) through adaptive, easy to use, web-based applications and services. Our solutions provide a common framework that greatly simplifies the GRC process, and help more than 10,000 businesses and other organizations gain competitive advantage through reduced risk, improved business processes and regulatory compliance. In addition to our GRC solutions, RiskAnalytics is an NAIC Authorized Business Partner for SERFF and worked in partnership with the NAIC to develop the original SERFF system.

## Why do I need SERFF?

A state filing submitted on the NAIC Server becomes the property of that state's Department of Insurance. Such filings are subject to the individual state's retention policy and may be deleted at any time. With RiskAnalytics, insurers have peace of mind that their records are secure and that historical filings can be accessed at any time, quickly and easily.

## How does it work?

Remote hosting is as simple as obtaining usernames and passwords and logging in through your web browser – RiskAnalytics does the rest. Services include all application and platform administration, system maintenance, daily backups (with secure, offsite storage) and version control. As part of our value added hosting services, RiskAnalytics can also set up one or multiple partitions for your company, allowing you to segregate filings based on business needs. Clients are provided with cost-effective and burden-free 24/7 access to their historical filings as well as advanced search and reporting tools that allow authorized users to define, filter and export data into customizable reports.

## What about security?

RiskAnalytics employs redundant, state-of-the-art SAS-70-compliant data centers, which protect both data and equipment with multiple layers of physical and electronic security ensuring that your information is available when you want it and only accessible to you and your authorized users.

- Dedicated network infrastructure ensures that Internet traffic is instantly and seamlessly routed through the fastest connection path. Design goals call for sub-second application response times;
- Data center access, power, temperature and humidity levels are monitored 24

hours per day by an electronic monitoring system; and,

- Facility redundancies, that include redundant electrical power, temperature control and HVAC, fire suppression and physical security have been implemented.

System access is controlled by password authentication and all data is encrypted using Secure Socket Layer (SSL). User passwords are hashed with a non-reversible, SHA-2 algorithm. In addition, operations, traffic, performance and data flow are monitored 24/7/365.

What's the cost?

RiskAnalytics offers a very cost-effective pricing model based on the number of filings. We also offer monthly, quarterly and annual billing options.

How do I get started?

Getting started is easy...a simple three-step process is all that's required.

1. Obtain a SERFF License Agreement through the NAIC (we can assist you).
2. Select the 'per filing' payment option or purchase a block of filings through NAIC.
3. Complete the RiskAnalytics Data Hosting Agreement.

RiskAnalytics can help you streamline the sign up process. Our dedicated staff is experienced in working with a company's business, legal and technology personnel to facilitate an easy, hassle-free set-up.

How can I get more information about RiskAnalytics?

For more information on how you can join the proactive companies using one of RiskAnalytics' other risk management solutions to lower costs and simplify their governance, risk management and compliance initiatives, call 1-913-685-6500 or [SerffSupport@RiskAnalytics.com](mailto:SerffSupport@RiskAnalytics.com).



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